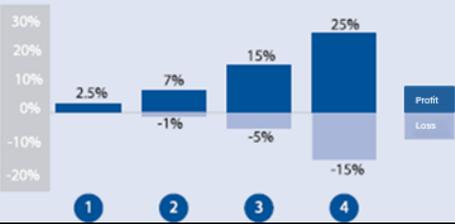




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Investment Suitability Assessment Form (Suitability Test)

Name-Surname of Applicant / Insured Policy Number
Identification Card Number Date

Questions 1 – 10 are used to assess the suitability of your investment	Score
1) Please indicate your age a. 60 years old or older b. 45 - 59 years c. 35 - 44 years d. Under 35 years	
2) What is the proportion of your total expenses at the present such as mortgage, cars, personal and family expenses? a. More than 75% of total income b. Between 50% and 75% of total income c. 25% to less than 50% of total income d. Less than 25% of total income	
3) What is your current financial status? a. Less assets than liabilities b. Assets equal liabilities c. More assets than liabilities d. Having financial freedom for retirement life	
4) Do you have any investment experience or knowledge in the following types of investment products? (You can choose more than 1 item) a. Bank Deposits b. Government Bond or Government Bond Funds c. Debentures or Mutual Funds d. Common Stocks or Mutual Funds or other high-risk assets	
5) What is your investment period target? a. Less than 1 year b. 1 to less than 3 years c. 3 to 5 years d. More than 5 years	
6) What is your risk tolerance? a. Focus on opportunity in preserving original investment safely and receiving small consistent return b. Focus on opportunity in receiving consistent return but may take risk of losing some original investment c. Focus on opportunity in receiving higher return but may take risk of losing more original investment d. Focus on the highest long-term return but may take risk of losing most of the original investment	
7) When considering sample picture below showing the potential returns of different investment portfolio, which investment portfolio are you most willing to invest in? <div style="display: flex; align-items: flex-start;">  <div style="margin-left: 20px;"> <p>a. Investment portfolio 1 (has chance to receive 2.5% return without any loss)</p> <p>b. Investment portfolio 2 (has chance to receive 7% highest return but may lose up to 1%)</p> <p>c. Investment portfolio 3 (has chance to receive 15% highest return but may lose up to 5%)</p> <p>d. Investment portfolio 4 (has chance to receive 25% highest return but may lose up to 15%)</p> </div> </div>	
8) If you invest in assets that have chances to receive high return but also have chances to receive high loss, how would you feel? a. Worried and afraid of loss b. Uneasy but somehow understand c. Understand and accept the fluctuations d. Not concerned about the large potential loss and expect that the return may increase	
9) In which proportion will you be anxious or unacceptable when the value of your investment has decreased? a. 5% or less b. More than 5% - 10% c. More than 10% - 20% d. More than 20%	
10) Last year, you invested 100,000 baht. This year, the value of your investment decreased to 85,000 baht. What will you do? a. Panic and want to sell the remaining investment b. Worried and will change some investment into less risky assets c. Continue holding the investment and wait until the investment rebounds d. Remain confident since it is long-term investment and will invest more to average cost	
Total Scores	
Questions 11 – 12 are used as additional information for guidance (Scores will NOT be counted)	
Apply only to investment in derivatives and structure note	
11) Successful derivatives and structure notes investment has high return. On the other hand, investors can lose all of their investment and must increase more capital. Are you able to accept this? a. No b. Yes	
Apply only to offshore investment	
12) In addition to investment risk, are you able to accept foreign exchange rate risk? a. No b. Yes	

I hereby consent to Allianz Ayudhya Assurance PCL using my information to prepare my suitability test and acceptable risk tolerance. I confirm that all the information I have provided is accurate, complete, valid, and currently up-to-date. Additionally, I understand that the information I provide will affect the services and advice I receive from Allianz Ayudhya Assurance PCL.

Sigh..... Applicant/Insured

Sigh..... The premium payer or the consenting parent /Legal guardian of Applicant / Insured

(.....)

(.....)

For agency only

Name-Surname (Agent)..... IC License No.

Investors should consider aligning their Basic Asset Allocation with the results of their Investment Suitability Assessment score

Suitability assessment result from scores and sample of recommendations pertaining to Basic Asset Allocation

Part 1 Assessment Scores

Answer a = 1 point Answer b = 2 points Answer c = 3 points Answer d = 4 points
 For suitability Assessment No.4, if selected more than one answer, the highest score of the answers will be selected.

Part 2 Assessment Result

Total Scores	Level	Investor Type of Risk
Below 15	1	Low
15 – 21	2	Moderate to Low
22 – 29	3	Moderate to High
30 – 36	4	High
Above 37	5	Very High

Part 3 Sample of recommendations pertaining to Basic Asset Allocation

Investor Type of Risk	Asset Allocation				
	Deposits and Short-Term Fixed Income Funds	Logn-Term Fixed Income Funds	Debenture	Equity Fund	Other Options
Low	>60%		<20%	<10%	<5%
Moderate to Low	<20%	<70%		<20%	<10%
Moderate to High	<10%	<60%		<30%	<10%
High	<10%	<40%		<40%	<20%
Very High	<5%	<30%		>60%	<30%

Note: '*' includes commodities and futures contracts